



Rev 04/00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Klaus Unsicker et al
Application No. : 10/009,431
Filed : February 13, 2002
Confirmation No. : 2347
For : NEUROPROTECTIVE PROPERTIES OF GDF-15, A
NOVEL MEMBER OF THE TGF- β SUPERFAMILY
Examiner : Leffers Jr., Gerald, G.
Attorney's Docket : MBP-007XX

TC Unit: 1636

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to: Mail Stop Sequence, Commissioner for
Patents, P.O. Box 2327, Alexandria, VA 22202 on
Nov. 1, 2004.

By

Holliday C. Heine
Holliday C. Heine, Ph.D.
Registration No. 34,346
Attorney for Applicants

PRELIMINARY AMENDMENT

RE: INSERTION OF SEQUENCE LISTING INTO SPECIFICATION

Mail Stop Sequence
Commissioner for Patents
P.O. Box 2327
Alexandria, VA 22202

Sir:

In response to the Notice to Comply with Requirements for
applications containing Nucleotide Sequences (copy enclosed)
dated October 1, 2004, please amend the above-identified patent
application as follows.

Adjustment Date: 06/08/2005 SDIRETA1
01/10/2005 KTURNER 00000003 230804 10009431
01 FC:2252 225.00 CR

06/08/2005 SDIRETA1 00000013 230804 10009431
01 FC:2251 60.00 DR

- 1 -

KINGARTEN, SCHURGTN.
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AX (617) 451-0213

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www.wsglip.com**FACSIMILE COVER SHEET**

DATE: April 27, 2005

TO: Receipts Accounting Division
Tel. No. 703-305-4632

Fax No.: (703) 308-5077

FROM: Charles L. Gagnebin III

No. of pages transmitted
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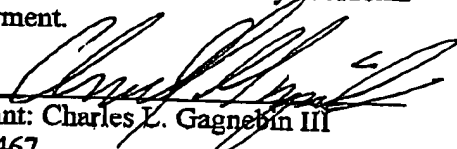
Application No. 10/009,431
Filed Date: February 13, 2002
Confirmation No.: 2347

Sent by: Mary Ellen Shea

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MESSAGEPLEASE DELIVER DIRECTLY TO:
RECEIPTS ACCOUNTING DIVISION, Tel. 703-305-4632**FOR ENTRY**

Enclosed for filing please find a: REQUEST FOR REFUND

The Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional filing fees associated with this communication or credit any overpayment.


Attorney for Applicant: Charles L. Gagnebin III
Registration No. 25,467

CLG:mes/320592

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Rev 09/03

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TC Art Unit: 1636

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facsimile to RECEIPTS ACCOUNTING DIVISION, Fax No. (703) 308-
5077, on 4-27-5.

By: 

Charles L. Gagnebin III

Registration No. 25,467

Attorney for Applicant(s)

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: RECEIPTS ACCOUNTING DIVISION

Sir:

Applicants filed on November 1, 2004, a Preliminary Amendment
Re Insertion of Sequence Listing Into Specification, CRF Disk of
Sequence Listing and copy of Notice to Comply dated October 1,
2004 (copy of postcard and first page of Amendment containing the
Certificate of Mailing enclosed). The Office Action indicated

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Application No.: 10/009,431
Filed: February 13, 2005
TC Art. Unit: 1636
Confirmation No.: 2347

that the time to respond was 30 days, which was October 31, 2004.
The Patent Office Deposit Account indicates a charge of \$225.00
for two months extension of time. Since the response was filed
November 1, 2004, (31 days from October 1, 2004), Applicants
submit that only one moth of extension was required. Applicants,
therefore, request a refund of \$170.00.

Kindly credit the amount to our Patent and Trademark Office
Deposit Account No. 23-0804. Triplicate copies of this
transmittal letter are enclosed.

Respectfully submitted,

Klaus Unsicker, et al.

By: 

Charles L. Gagnebin III
Registration No. 25,467
Attorney for Applicant(s)

WEINGARTEN, SCHURGIN,
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CLG:mes/320405-1

Applicant: Klaus Unsicker ET AL
Title: NEUROPROTECTIVE AGENTS Filed: FEBRUARY 13, 2003
Application No. 101 009 431 Patent No. — Docket No. MR 2007 X1

Enclosed is the Following:

- ☒ Transmittal Letter in triplicate,
Check(s) for _____
- ☐ Application including Pgs. _____ of Spec.,
_____ of claims and pg _____ of Abstract
- ☐ Declaration and Power of Attorney.
Check(s) for _____
- ☐ Informal / Formal Drawings,
_____ Sheets of Figs. _____
- ☐ Letter to Chief Draftsman;
Amend./Resp. dated NOV 1, 2004
- ☒ Check(s) for _____
- ☐ Information Disclosure Statement, Form 1449,
_____ refs., Check for _____
- ☐ Assignment; Check for _____
- ☐ Issue Fee: PTO Form 85B&C; Check for _____

Date Mailed: Nov 1, 2004

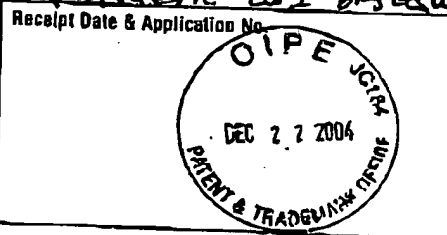
Date Due: Nov 1, 2004

Initials: HCH/mw

Express Mail Receipt No. _____

- ☐ Certificate/Letter of Correction,
Cover letter & check for _____
- ☐ Notice of Appeal (In Triplicate),
Check for _____
- ☐ Petition for Extension of Time for
_____ Months, Check for _____
- ☐ Verified Statement Claiming Small Entity Status
- ☐ Certificate of Mailing by Express Mail

☒ Other COPY OF NOTICE TO COMPLY
WITH REQUIREMENTS FOR SEQUENCES
FOR SUBMISSION TO PAREN
A DISCRETE SEQ BASE SEQUENCE



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